Some steps to improve software information

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Abstract

Mathematical software plays an increasing role in mathematics and key technologies. But to find and get information about software is expensive. Missing metadata standards, e.g., the citation of software, are one of the reasons. In the following some recent developments are described how the community can contribute to a better information system for mathematical software.

1 Introduction

Computer Algebra Systems (CASs) and their use in research are a critical part of modern mathematics and moreover for a broad class of applications. More generally, scientific software has established itself as an autonomous kind of scientific research. But the existing scientific infrastructure is focused on articles and books and does not support the information about software optimally. Also, methods for the evaluation and quality control of scientific software, in particular
computer algebra software, must be developed and the development of scientific software should be
given the same credit and reputation as it is given to other research results.

Citations play an essential role for identifying resources, as they help to track and weight the
development and the realization of ideas and theories. Furthermore, the evaluation of research
results, improvement of visibility of the cited resources and proper credits to authors are the origin
for developing information services. The first section describes recommendations for a better citation
practice for software.

Scientific software development and software information are widely distributed which complicates
the integration of scientific software into existing infrastructures in an adequate way. In section
2 we give a brief overview about existing information resources, their role, and some problems in
scientific software information services in mathematics. At the top, portal and catalogues are a
first contact point to find software and information about it. There exist a lot of portals, also for
symbolic computation, but manual maintenance and updating the information is expensive. The
swMATH service—for a brief description of this system see section 4—is an attempt to create a
comprehensive information service on mathematical software in a (nearly) automatic way. Therefore
the close relationships between software and publications are essentially used. But the context of
mathematical software is broader, it covers also algorithms, data, languages, people, communities,
institutions, etc. The idea of a social network for the symbolic computation community is intro-
duced in section 5. It is based on semantic web technologies allowing to maintain the information
in distributed resources. It is one of the aims of this paper to sensitize the symbolic computation
community to the questions and problems of a suitable scientific infrastructure for this mathematical
subject. We hope that it will lead to an intensive discussion on these addressed problems within
the community.

2 Software Citations

Publications—as the classical products of scientific research—use citations for embedding the con-
tent of a publication into its scientific context. Citations of publications are—at least in principle—
standardized. But this is not at all true for software citations up until now. This results from
the character of software. Software is dynamic and has a life cycle, has often different versions,
releases, or bug fixes, etc., which prompt questions of archiving, reproducibility, and sustainability.
Software is written in a formal language and is accompanied by documentation, manuals, metadata
files, etc. The line between software and other types of research, especially algorithms, languages,
environments and services is fuzzy. Software is dependent on hardware, the operating system and
other software; licenses and usability conditions for software are varying. A metadata standard for
software is missing. Mathematical software is closely linked to models and mathematical objects,
theories and algorithms.

With respect to the use of computer algebra systems and packages within these systems the
missing citation standard leads to the absurd situation that in most cases citation is just “turned
off”, hence the author of the software is not cited at all. Users of such systems who get results that
they use in their papers often are not aware of whom they should cite and how they should do this
properly.

Recently, the increasing importance of software expresses itself in more software citations in
scientific publications. But these citations often provide not enough information about the software
used. Fig. 1 gives an example for the citation of the “Singular” software in a publication.
Such a citation practice for software is more or less typical not only in mathematics. Howison and Bullard [9] analyzed nearly 300 software references in biology, see Fig. 2.

A lot of initiatives, being run by e.g., software companies, publishers, or repositories have discussed and developed proprietary recommendations for software citations. Mike Jackson has given in his blog [11] a detailed state of the art analysis and pitfalls of software citation and recommendations for a better citation practise. Currently, the FORCE 11 Software Citation Working group [18], an international initiative of more than 50 information experts from different scientific areas, has discussed basic concepts for software citation. As one result the group has published the Software Citation Principles (SCPs) [16]. They emphasize that software is a legitimate product of research and therefore must be citable. The six principles address the importance of software within research which should manifest that all relevant software will be cited, that software citations should facilitate giving credit and attribution to the developers and contributors of software, include methods for a unique identification, refer to persistent information about software, should facilitate access to the software, and provide accurate information about software (e.g., the version used). The SCPs define a general frame for software citations and moreover formulate principles for maintaining of information about software.

The SCPs do not discuss the realization of the principles. This is planned to be subject for a follow-up working group.

Exact information about software—together with the data used—is a necessary condition to evaluate and reproduce scientific results which were achieved by using mathematical software. Therefore, for the citation format, the following recommendation is given: “We recommend that all text citation styles support the following: a) a label indicating that this is software, e.g., [software], potentially with more information such as [Software: Source Code], [Software: Executable], or [Software: Container], and b) support for version information, e.g., Version 1.8.7” [16]. Each researcher who uses a software for research and publishes her or his results (e.g., in form of a paper, software or data file) is recommended to cite software according to this recommendation.

The mathematical community uses the TeX format for publishing. References are encoded in the
(outdated) Bib\TeX{} format or, more up to date, in the BibLaTeX format. Actually, neither Bib\TeX{} nor BibLaTeX support a type “software”. Up to now, the BibLaTeX standard contains no special document type for software. Software must be typed as “misc”. A pragmatic recommendation is that it should be added to the title if a citation refers to a software, together with detailed information about the software instance. This could be done in the following form: title [Software:special type (Source Code, Package, Executable, Library, Other)] [Version or release or URL and/or date of the update and/or date of the download]. This would be a first step to a better software citation practice and provides the required information for the human user.

A more rigorous and Semantic Web compatible solution would be an extension of BibLaTeX standard. BibLaTeX together with the back-end software Biber provides the opportunity to define new document types, e.g., software and the corresponding fields. A prototype for a TeX implementation is under development within the framework of the swMATH activities. It is planned to provide a template for TeX encoding of software citations.

The BibLaTeX encoding allows also a simple transformation to other formats, e.g., JSON, which can be used for a machine-based semantic processing of software citations.

Comment: Also software which cites another software should contain the corresponding notations. This could be done by separate citation files which are encoded in the same form as for papers, e.g., in BibLaTeX.

The SCPs recommend that “the software itself should be cited on the same base as any other research product”, and should have a unique and persistent identifier, preferably a DOI. This does not mean that the DOI is assigned to the software code. Outdated software is often removed from the Web. Instead, “the software identifier should resolve to a persistent landing page that contain metadata and a link to the software itself, rather than directly to the source code files, repository, or executable”, [16]. The problem of persistent identifiers and landing pages, especially of a DOI, is connected with additional efforts. Up to now, the existing landing pages, e.g., portals and software directories, provide only metadata about families of software which is offered under the same name, not about versions.

It seems to make sense that—similar to publications—persistent identifiers should be provided and maintained by special information services which integrate the information about software in a subject and make it available. The SCPs make clear that a citation standard would be very helpful for better software information but it is only a building block in a better digital information infrastructure for software and scientific information in general. That is why we continue with a brief description about Web resources which are relevant for mathematical software information.

## 3 The landscape of mathematical software information in the Web

The landscape of Web resources of mathematical software information is heterogeneous, widely distributed, and has different layers. Here is an incomplete list of the relevant resources:

- **Individual websites of a software**
  This is in some sense the basic layer of the software information infrastructure. Websites exist for many though not all software packages (from our experiences in the swMATH project we estimate that nearly two thirds of mathematical software packages provide information on own websites). Typically, these websites contain a lot of detailed information about a software,
documentation, manuals, tutorials, software code (if the software is free), the programming language used, contact information, usability and licences, hard- and software requirements, publication lists, etc.

- **Repositories**
  Software repositories as “The Comprehensive R Archive Network (CRAN)” [15] or “The Comprehensive Perl Archive Network (CPAN)” [14] provide and maintain metadata plus the source code of software collections. CRAN is a repository for statistical software written in the R language and presents standardized meta information, the version history, and links to the source code for nearly 10,000 packages.

- **Portals, directories and information services**
  Portals or directories of software provide lists of software, metadata, and links. “Fachgruppe Computeralgebra” [2] or “SIGSAM” [17] offers structured webpages for computer algebra systems. These services, like the Symbolic Data project [6], are not limited to information about software but also on conferences and workshops, researchers, and data. We will discuss this in more detail below.

An informative list of computer algebra systems can also be found in Wikipedia [20].

![Figure 3: A snippet of the Wikipedia (I): list of computer algebra systems.](image)

The lists mentioned here are manually maintained and updated, have different structure also for metadata and are weakly coordinated, see also the remarks about the Computer Algebra Social Network (CASN) below.

- **Further resources**
Services
Software can be available in different forms, e.g., as a service (cloud computing, Class Group Database [1, 13]).

Journals specialized in mathematical software
Also the software journals are mentioned here because they play a pioneering role for the quality control and evaluation of software. There exists a number of journals specialized in mathematical software, e.g., the Journal of Software for Algebra and Geometry [12], where the peer reviewing also includes mathematical software.

Conferences (including proceedings) on CASs
Moreover, mathematical software must be considered in its context which is given by mathematical theories, algorithms, programming languages, applications, data, e.g., benchmarks and data formats, and also the developers and user communities of software. Context analysis is also an important method to build up and develop powerful machine-based information services for mathematical software which will be demonstrated by the swMATH concept in the next section.

4 swMATH

4.1 The publication-based-approach

An important feature of the swMATH [3] concept, the publication-based approach, has its origin in the analysis of context information. Instead of analyzing mathematical publications for software citations and information about software, the database zbMATH [4] is used. Especially, the zbMATH database contains the following data of publications which are of relevance for the analysis: title, keywords, reviews or abstracts, reference lists, and classification codes. The data analysis and knowledge generation of the swMATH approach has several steps:

1. Identification of software references within the zbMATH data
   The title, the review or abstract, and the reference lists of publications are searched for indicators for software references by heuristic means. Such indicators can be artificial names
in combination with characteristic words as software, module, package, etc. Of course, the methods used are very simple but work surprisingly well. The acceptance of a software citation standard corresponding to the recommendations given above would make the heuristic methods obsolete and permit a secure and complete identification of software.

2. Extraction of information about software

Reviews and abstracts of a mathematical publication contain most of all content information, especially a description of the problems investigated, the used methods, and results. For software references it is useful to distinct between two classes of publications: The publications which describe a software (labeled as “standard publications”) and publications which use a software for solving a problem (labeled as “user publications”). Both types provide different information about a software and are processed in different ways. Some information directly enters into swMATH, e.g., keywords or MSC codes.

3. Aggregation and ranking of information

Currently, swMATH has nearly 16,000 entries on software packages and other mathematical research data which contain more than 215,000 software citations in more than 130,000 publications. In other words, there is often a great number of publications citing a software. This allows to weight the information by the corresponding number of the keyword frequencies which is done in the keyword cloud, to create an “acceptance profile” of the software (citation graph) by the number of annual publications citing a software. It’s also possible to give some information about related software based on the MSC classification codes. The number of publications citing a software could also be used as a measure for credit to the developers. Further features are possible, e.g., the definition of an application profile of the software. All this can be done automatically by heuristic means.

4.2 The Web-based approach

The publication-based method is a powerful tool but has limitations. Publications do not cover technical details about the implementation, the programming language, or the required hard- and software environment of a software. Typically, this information is given in manuals and documents. Also other context information, e.g., test data and benchmarks or programming languages, are important for reproducing the results of a publication. As said above, this kind of information can often be found on other resources on the Web.

Therefore swMATH tries to enrich the information about software by adding information from the Web. At first, swMATH tries to find the website of a software and links it if the search was successful. We have started to develop methods for analyzing the websites, see [8]. For this purpose the Internet Archive [10] is used which provides also the data from a lot of websites of mathematical software from the past. Also the information of some repositories is integrated in swMATH. swMATH shows that the analysis of different resources is a promising way to run and maintain useful and efficient information services for mathematical software.

4.3 swMATH in a nutshell

swMATH lists more than 100 entries for CASs. A swMATH search for “computer algebra system” shows a list of CASs
Each CAS is presented by an own webpage, see, e.g., a cutout of the swMATH webpage for the software “Singular” in Fig. 6.

Typically, swMATH provides the following information:

1. a list of mathematical software packages (and other related mathematical research data), as complete as possible
2. a persistent identifier (a five digit number) for each software,
3. metadata, especially about its content (description, keywords, MSC codes),

Figure 5: A snippet of the swMATH list of computer algebra systems.

Figure 6: A snippet of the swMATH webpage for the software “Singular”.

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1. a list of mathematical software packages (and other related mathematical research data), as complete as possible
2. a persistent identifier (a five digit number) for each software,
3. metadata, especially about its content (description, keywords, MSC codes),
4. links to the websites of the software (if existing).
5. a list of publications citing a software
6. a list of similar software
7. an acceptance profile for the software
8. links from the publications to the corresponding versions (currently only in the test version)
9. links to the Internet archive
10. links to manuals, documentation, source code, etc.

Moreover, swMATH provides a simple and an extended search functionality.

5 The Computer Algebra Social Network

Software information is an important part of the scientific digital information and communication infrastructure. The scientific digital infrastructure is widely distributed and must be able to process and link heterogeneous resources, e.g., information about researchers, publications, software, conferences and workshop, etc. and data formats in a semantic way. This requires an active goal-oriented conceptual and technical cooperation between different players. All relevant data must be digitized, semantically enriched and encoded in a machine-understandable way. The idea of the Computer Algebra Social Network (CASN), for an overview on CASN see H.-G. Gräbe [7], is an advancement and continuation of the Symbolic Data concept. Established Web technologies, especially RDF, should be used for the semantic annotation of resources. Each resource, e.g., the servers of the German CA Fachgruppe or SIGSAM, can become a node in this network. The RDF files of the metadata which are created by the provider of a node guarantee that the information can be automatically linked and is accessible via CASN. The swMATH service is integrated in CASN.

6 Summary and outlook – what we can and should do

A powerful and sustainable infrastructure for mathematical software information is in the interest of developers and users. It is also important for the positioning and the role of this research subject in the sciences and in society. The infrastructure must be oriented towards the interests of the developers and users. The mathematical community should actively take part and influence the development. Specifically, the information about software is inconsistent, is distributed, not standardized, and not machine-processable, which hampers the combination of heterogeneous resources of mathematical software and related information. A better citation practice, standardization, enrichment of the semantic information, coordination, and a better integration of software as desired in the Open DreamKit project [19] opens new perspectives for this research subject. We need a broad dialogue and a communication forum which brings the developers, the user communities, information experts, and service providers together for a discussion of all aspects. The symbolic computation community could play a pioneering role to establish a sophisticated infrastructure for a mathematical subject which covers all relevant resources. These include
Software information TBA

- definition of the overall goals and principles of an infrastructure for mathematical software

- standardization
  for the authors: The authors should cite the software corresponding to the recommendations of the SCPs, especially marking up the type and give information about the versions, releases, etc,
  for developers and service providers: There should be developed a standardized metadata scheme for mathematical software (analyzing the different facets of software information),
  for service providers: The information should be provided in a machine-understandable way which supports a semantically sensible combination of information,
  for service providers: Development of intuitive tools to support the standardized description of citations.

- a better linking of the information resources of software for service providers: This requires a cooperation between the providers of the different services for software and its context and the development of user interfaces.

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References


